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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Samuel Daniel Dull, III et al	:	Date: June 22, 2001
Group Art Unit:	2182	:	IBM Corporation
Examiner:	Unknown	:	Intellectual Property Law
Serial No.:	09/821,920	:	Dept. 917, Bldg. 006-1
Filed:	March 30, 2001	:	3605 Highway 52 North
Title:	METHOD AND APPARATUS FOR INSTALLING AND UPGRADING AN APPLICATION IN A COMPUTER SYSTEM	:	Rochester, MN 55901-7829

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/821,920
Docket No. ROC920010099US1

PATENT


This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: June 22, 2001

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

By


Grant A. Johnson, Attorney
Registration No. 42,696

June 22, 2001

Date of Deposit

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Grant A. Johnson

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920010099US1SERIAL NO.
09/821,920APPLICANT:
Samuel Daniel Dull, III et alFILING DATE:
March 30, 2001GROUP:
2182 Technology Center 2100

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA	6 1 9 9 2 0 4	03/06/2001	Donohue	717	11	09/22/1998
AB	6 0 5 1 0 3 2	04/18/2000	Harrison et al.	717	11	10/09/1997
AC	5 3 6 7 6 8 6	11/22/1994	Fisher et al.	395	700	04/12/1993
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
		YES	NO											
	AL													
	AM													
	AN													
	AO													
	AP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Patent Application AUS9-2000-0831-US1, Serial No. 09/714,729; "Data Processing System and Method for Creating and Distributing a Customized Installation Package for Each of a Plurality of Client Computer Systems", Filed November 16, 2000
AS	Patent Application Serial No. 09/450,558; "System and Method for Managing Documents Having Formula Based Document Links", Filed November 30, 1999
AT	Patent Application YOR9-1999-0457-US1, Serial No. 09/633,037; "Methods and Apparatus for a Web Application Processing System", Filed September 30, 1999

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.